



UNITED STATES PATENT AND TRADEMARK OFFICE

SEP 27 2001

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILED/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/883,995	06/20/2001	Wataru Yamamoto	8215.125



CONFIRMATION NO. 2414
FORMALITIES LETTER

Liniak, Berenato, Longacre & White
Suite 240
6550 Rock Spring Drive
Bethesda, MD 20817



OC000000006414975

Date Mailed: 08/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 420.**

*A copy of this notice **MUST** be returned with the reply.*

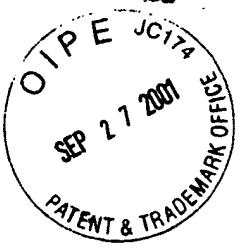

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

10/01/2001 EABUBAK1 00000045 09883995

01 FC:201
02 FC:205

355.00 OP
65.00 OP



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Wataru YAMAMOTO

Group Art Unit: 1743

Application No.: 09/883,995

Examiner: Unknown

Filed: June 20, 2001

Attorney Dkt. No.: 08215.125

For: CATHODE CARTRIDGE AND ANODE CARTRIDGE OF TESTING DEVICE FOR ELECTROPLATING

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231
ATTN - BOX MISSING PARTS

September 27, 2001

Sir:

In response to the Notice to File Missing Parts of Application dated August 13, 2001 (copy attached), the following are enclosed:

- \$65.00 as payment of the small entity surcharge for the Notice to File Missing Parts;
- \$355.00 as payment for the small entity filing fee;
- \$____ as payment for a ____ month extension of time;
- Originally-executed Declaration/Power of Attorney;
- Originally-executed Verified Statement Claiming Small Entity Status;
- Verified Translation of the Application [with a Preliminary Amendment]; and
- Other.

Applicants(s) are also enclosing the following:

- A Information Disclosure Statement with Form PTO-1449, _____ refs. [and a search report];
- A Submission of Priority Application No. _____ filed _____ along with _____ certified priority document(s);
- Originally executed Assignment with Form PTO-1595; and
- \$40.00 as payment for the recordation of the Assignment.

The Examiner is respectfully requested to acknowledge that all of the requirements of 35 U.S.C. §111 have been met.

Please charge any fee deficiency or credit any overpayment to Deposit Account No. 50-0548.

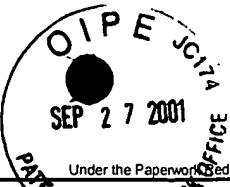
Respectfully submitted,



Matthew Johnston
Registration No. 41,096

Liniak, Berenato, Longacre & White
6550 Rock Spring Drive
Suite 240
Bethesda, Maryland 20817
(301) 896-0600

MJ/ts



Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

NY Sector

FEE TRANSMITTAL for FY 2001		<i>Complete if Known</i>	
Patent fees are subject to annual revision.		Application Number	09/883,995
		Filing Date	June 20, 2001
		First Named Inventor	YAMAMOTO
		Examiner Name	Unknown
		Group Art Unit	1743
Total amount of payment	\$460.00	Attorney Docket Number	8215.125

METHOD OF PAYMENT						FEE CALCULATION (continued)																																																																																																																																																																																																																		
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number 50-0548 Deposit Account Name Liniak, Berenato, Longacre & White						3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>Surcharge - late filing fee or oath</td><td>\$65.00</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>Petition to revive -unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>Petition to revive - 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WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.																																																																																																																																																																																																																								